

FORM PTO-1390*
(REV. 12-2004)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

1419.114

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

To be assigned

107539568

INTERNATIONAL APPLICATION NO.
PCT/JP2003/016112

INTERNATIONAL FILING DATE
December 16, 2003

PRIORITY DATE CLAIMED
December 20, 2002

TITLE OF INVENTION
MOVING TOY

APPLICANTS FOR DO/EO/US

Kimitaka WATANABE, Takashi ICHIKAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ Assignment documents for recording. Separate cover sheets in compliance with 37 CFR 3.28 and 3.31 are included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (If known, add 37 CFR 1.5) To be assigned 10/539568				INTERNATIONAL APPLICATION NO. PCT/JP2003/016112		ATTORNEY'S DOCKET NUMBER 1419114											
21. <input checked="" type="checkbox"/> The following fees are submitted:						APPLICANT USE OFFICE USE ONLY											
<input checked="" type="checkbox"/> a) Basic National Fee \$300.00						\$300.00											
<input checked="" type="checkbox"/> b) Examination Fee \$200.00						\$200.00											
<input checked="" type="checkbox"/> c) Search Fee \$500.00						\$500.00											
TOTAL OF ABOVE CALCULATIONS = \$1000.00						\$1,000.00											
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each addition 50 sheets of paper or fraction thereof.						<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: center;">FEE VALUE</th> </tr> <tr> <th style="text-align: center;">OF</th> <th style="text-align: center;">FURNISHED</th> </tr> <tr> <td style="text-align: center;">19</td> <td style="text-align: center;">3935</td> </tr> <tr> <td style="text-align: center;">1632</td> <td style="text-align: center;">(500)</td> </tr> <tr> <td style="text-align: center;">1642</td> <td style="text-align: center;">450</td> </tr> </table>		FEE VALUE		OF	FURNISHED	19	3935	1632	(500)	1642	450
FEE VALUE																	
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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Rate														
* - 100 =	*/50=		X 250.00														
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$											
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		\$												
Total claims	25- 20 =	5	x \$50.00		\$250.00												
Independent claims	2- 3 =	0	X \$200.00		\$												
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00						\$											
TOTAL OF ABOVE CALCULATIONS =						\$											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fee is reduced by 1/2.						\$											
SUBTOTAL =						\$											
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$											
TOTAL NATIONAL FEE =						\$											
Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignments must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$120.00											
TOTAL FEES ENCLOSED =						\$1,370.00											
Amount to be refunded:						\$											
Amount to be charged:						\$											
a. <input checked="" type="checkbox"/> A check in the amount of \$1,370.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																	
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.																	
SEND ALL CORRESPONDENCE TO: Staas & Halsey LLP 1201 New York Avenue, N.W., Suite 700 Washington, DC 20005																	
						SIGNATURE William F. Herbert NAME 31.024 REGISTRATION NUMBER											